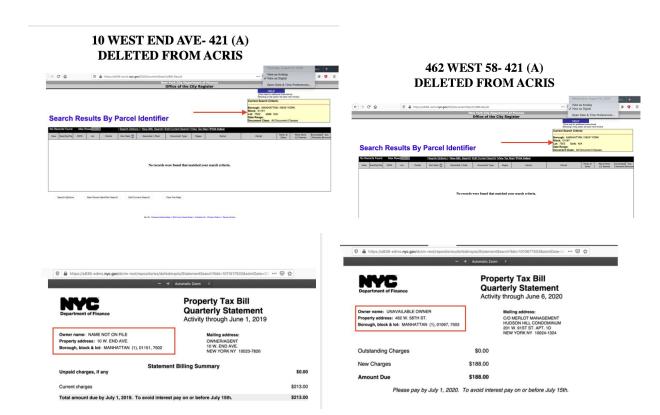
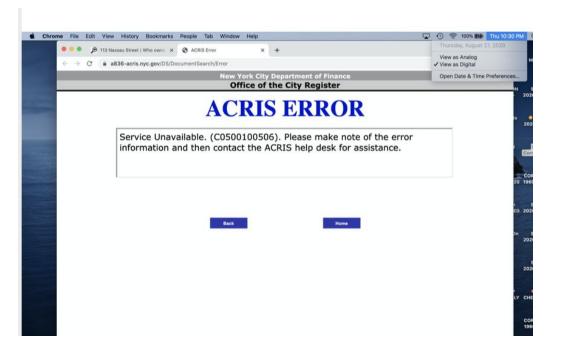
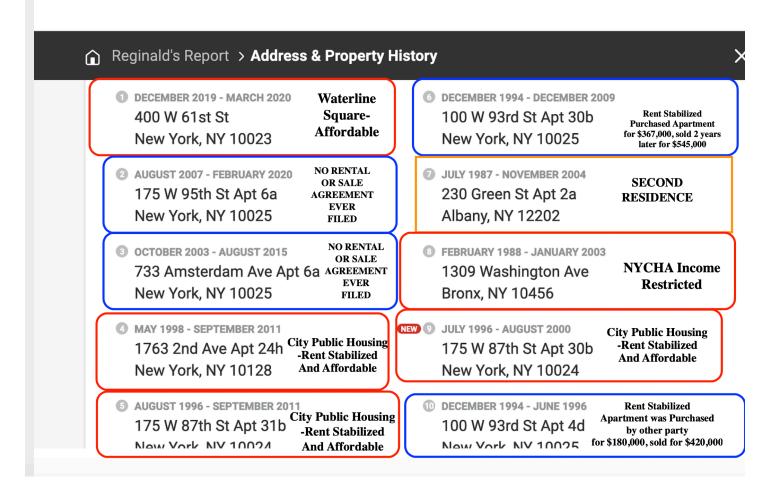
EXHIBIT K:

Plaintiff's Second Amendment Complaint





SELECTED ILLUSTRATIONS OF UNLAWFUL RESIDENTS WHO UPON PROTEST MODIFIED INCRIMINATING INFORMATION ON PUBLIC DATABASES AND/OR MOVED OUT OF WATERLINE SQUARE



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PERSON REVERSE PHONE

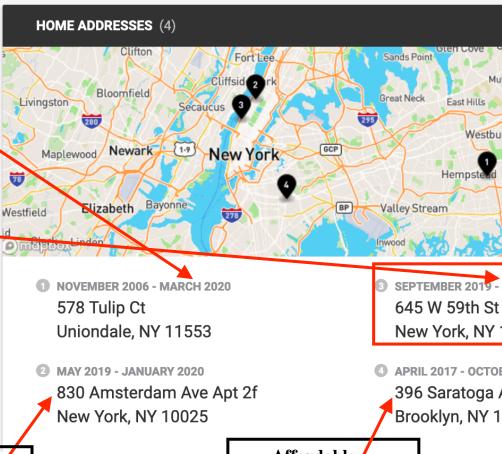
645 W 59th St, New York NY

Lisa's Report > Address & Property History

Owns Second Property
In Uniondale
In violation of
HPD Lottery Rules

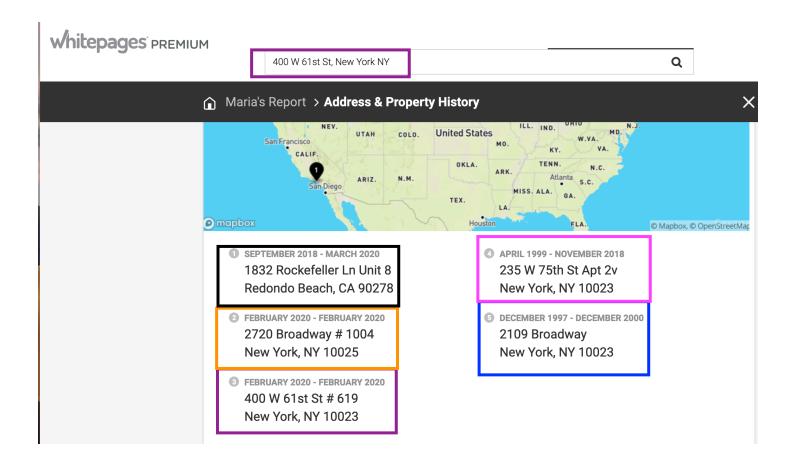
Address of Waterline
Square
Purposely missing
#Unit number, but based on
other property price range, this is a
subsidized unit.
Moves into Waterline Square
in Sep 2019, then abruptly
moves out four months later

Lisa Alexander



Affordable NYCHA Owned Property Affordable / NYCHA
Owned Property

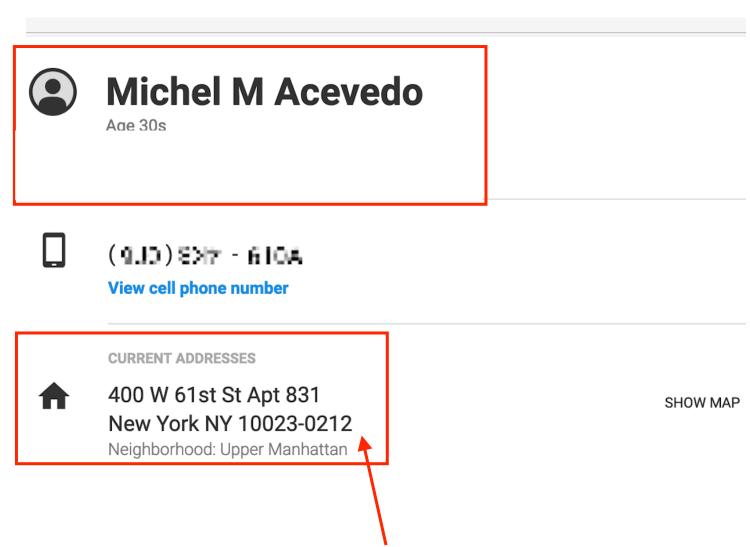
645 W 59th St, New York NY Hadith's Report > Address & Property History **HOME ADDRESSES** (6) Waterline Square Toronto Rochester Milwaukee Lansing Buffalo Detroit Chicago Cleveland Youngstown Fort Wayne PENNSYLVANIA **Apartment Approx.** OHIO Harri Pittsburgh CHE DOOX **Monthly Rental=** \$5000 OCTOBER 2019 - MARCH 2020 SEPTEMBER 201 645 W 59th St # 301 6906 Windw Norton Shor New York, NY 10019 OCTOBER 2010 - DECEMBER 2019 **5** OCTOBER 2013 -**Apartment Approx.** 400 W 63rd St Apt 1105 4601 39th A **Monthly Rental=** New York, NY 10069 Sunnyside, N \$4500 3 OCTOBER 2010 - NOVEMBER 2019 **3** JUNE 2003 - MA 60 Riverside Blvd Apt 316 11152 43rd New York, NY 10069 Corona, NY



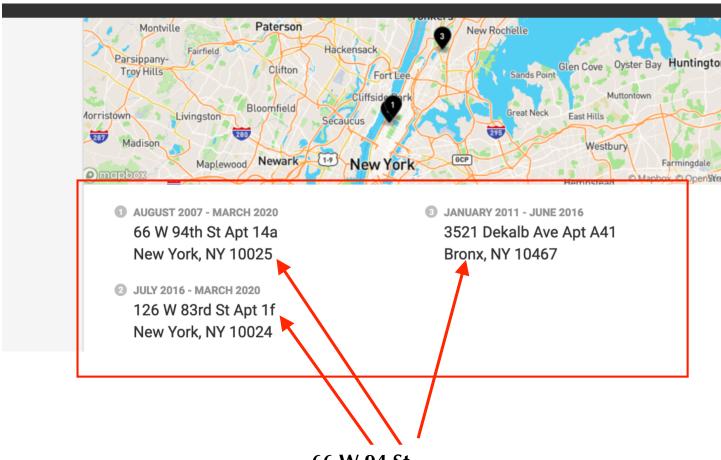
Case 1:20-cv-04340-RWL Document 65-4 Filed 10/15/20 Page 7 of 32

Michel Acevedo, current resident in affordable housing unit at Waterline Square, also lives in a rent stabilized apartment at 126 W 83 Street, and in affordable unit at 66 W 94, where the entire building is owned and managed by HPD. Previously lived in a building 3521 Deklab Ave- where all 72 units are rent stabilized. This is an outrageous violation of the HPD Handbook. Upon information and belief, Mr. Acevedo is another Waterline Square hispanic applicant given preferential treatment and the right to violate HPD regulations.

Michel Acevedo #831, Waterline Square

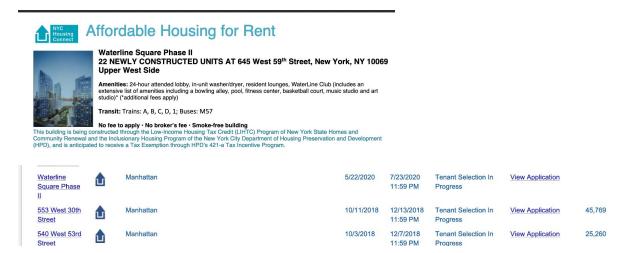


WATERLINE SQUARE CURRENT AFFORDABLE HOUSING APARTMENT

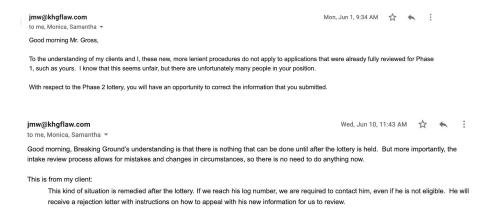


66 W 94 St Building Owned By New York City Housing Agencies Since 1973 3521 Dekalb Ave

Entire Building is Rent Stabilized, previously Owned by the 126 W 83: HPD-Affiliated Building, majority of units are rent stabilized



25. As another testament to outrageous practices, Respondents have refused to allow Appellant to enter his proper income which has been automatically entered into the second lottery based on the information in the first lottery. Rather, Respondents insist that Appellant be first rejected, before he is given a chance to appeal.



26. Furthemore, in response to providing Defendants counsel with evidence that numerous apartments were awarded to egregiously-unqualified residents (EXHIBIT E1-E10), this horrid allegation was rationally confirmed by virtue of each and every one of these residents taking active measures to remove the subject property from their housing records, and/or modify other incriminating information.

Finding 200,000 Apartments in Registered Rent Stabilized Buildings and Buildings Receiving Tax Incentives for Rental Buildings that Should Be Registered.

Background:

The official estimate of the number of rent stabilized apartments in New York City is approximatedly 1.0 million*. That number has remained the same for at least the last 20 years. At the same time, there are now and have only been about 800,000 apartments registered as stabilized. The difference is 200,000.

Why is there a difference? Because the official estimate includes apartments that are registered as stabilized as well as those that should be registered. Among those that 'should be registered' are 1) all the apartments in a building that is not registered but should be, and 2) some, or many, of the apartments in a registered building but where not all the apartments are registered. In the first category are thousands of buildings receiving 421a or J51 tax incentives for rental units. In the second category are apartments that are not longer registered because of, for example, un-audited claims of exemption status filed by building owners for individual apartments. Why aren't they registered? The primary reasons is that over the years, starting in 1984, the laws, rules and regulations regarding registration have changed to lessen penalties for not registering. For another, the registration data comes solely from the building owners. And until recently when the State established a "Tenant Protection Unit", there has been ineffective monitoring and enforcement of the regulations at both the State and City levels.

Purpose

This site is intended to help renter households who are entitled to a lease for a rent stabilized apartment find out that they are entitled to such a lease even though their ability to do so has been obscured. The site allows users to check on whether the building they are in is registered with the State or it should be registered even if it is not. The site integrates the State registration data with data on buildings receiving 421a or J51 tax incentives for rental apartments. For registered buildings, the site provides not only the number of apartments registered as stabilized but also the number of residential units on the tax lot, whether the lot is receiving tax incentives or not.

On the Home page, enter an address. Over the web, the address will be checked against all known addresses in New York City. For a valid address, the site will return a unique building and tax lot code, and other data including the NY City Council and State Assembly District codes for the area. Next the program will access the NY State records of registered buildings. For a registered building, the program will return 1) the State Registration ID for the building and the number of apartments registered as stabilized, 2) the number of buildings on the tax lot, 3) the number of residential units on the lot, and 4) the year the building was built. For any building on a lot receiving 421a or J51 tax exemptions, the program will return the name of the owner of the lot, the number of residential units on the lot, the number of buildings on the lot, the total dollar amount of taxes assessed, and the total dollar amount of dollars exempt from taxes.

Sources and Notes:

* The source of the estimate of rent stabilized apartments is the New York City Housing and Vacancy Survey (HVS). As explained in the appendix to the most recent HVS report, the estimate is based on 1) a complete listing of the administrative records on rent stabilized apartments supplied by the State and 2) data from other sources, including City J51 and 421a tax records. Click here to see the appendix to the 2011 report.

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NYC DEPARTMENT OF FINANCE OFFICE OF THE CITY REGISTER

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RECORDING AND ENDORSEMENT COVER PAGE PAGE 1 OF
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Document ID: 2016112200591002 Document Date: 09-16-2016 Preparation Date: 11-30-2016

Document Type: AGREEMENT Document Page Count: 54

PRESENTER:

ROYAL ABSTRACT OF NEW YORK LLC(908775)BL

125 PARK AVENUE SUITE 1610

NEW YORK, NY 10017

212-376-0900

MBASALATAN@ROYALABSTRACT.COM

RETURN TO:

ROYAL ABSTRACT OF NEW YORK LLC(908775)BL

125 PARK AVENUE

SUITE 1610

NEW YORK, NY 10017

212-376-0900

MBASALATAN@ROYALABSTRACT.COM

PROPERTY DATA

Borough Block Lot Unit Address

MANHATTAN 1171 154 Entire Lot 40 RIVERSIDE BOULEVARD

Property Type: COMMERCIAL REAL ESTATE

Borough Block Lot Unit Address

MANHATTAN 1171 156 Entire Lot N/A WEST END AVENUE

Property Type: COMMERCIAL REAL ESTATE

CROSS REFERENCE DATA

CRFN or DocumentID or Year Reel Page or File Number

PARTY 1:

THE CITY OF NEW YORK 100 GOLD STREET, NINTH FLOOR

NEW YORK, NY 10038

PARTIES

PARTY 2: RCB1 NOMINEE LLC

1345 AVENUE OF THE AMERICAS, SUITE 200

NEW YORK, NY 10105

FEES AND TAXES

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Mortgag	e :	
Mortgage	Amount:	\$ 0.00
Taxable I	Mortgage Amount:	\$ 0.00
Exemptic	on:	
TAXES:	County (Basic):	\$ 0.00
	City (Additional):	\$ 0.00
	Spec (Additional):	\$ 0.00
	TASF:	\$ 0.00
	MTA:	\$ 0.00
	NYCTA:	\$ 0.00
	Additional MRT:	\$ 0.00
	TOTAL:	\$ 0.00
Record	ing Fee:	\$ 310.00
Affidav	rit Fee:	\$ 0.00

Filing Fee:

\$ 0.00

NYC Real Property Transfer Tax:

NYS Real Estate Transfer Tax:

\$ 0.00

RECORDED OR FILED IN THE OFFICE ****OF THE CITY REGISTER OF THE

CITY OF NEW YORK

Recorded/Filed 12-02-2016 09:03

City Register File No.(CRFN):

2016000425192

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City Register Official Signature

REGULATOR	RY AGREEMENT
BET	WEEN
THE CITY O	OF NEW YORK
	AND
RCB1 NC	DMINEE LLC
PREMISES AFFECTED	BY THIS INSTRUMENT:
<u>BLOCK</u> 1171	<u>LOTS</u> 154 and 156
ON THE TAX MAP OF THE CITY OF	NEW YORK, COUNTY OF NEW YORK
RECORD AN	ID RETURN TO:
• • • • • • • • • • • • • • • • • • • •	OF NEW YORK
	ESERVATION AND DEVELOPMENT
OFFICE OF L	EGAL AFFAIRS
	REAL ESTATE DIVISION
	STREET 5-S2
NEW YORK, N	IEW YORK 10038

GOSTTS

Royal Abstract of New York, LLC
125 Park Avenue, Suite 1610
New York, N.Y. 10017
(212) 376-0900

REGULATORY AGREEMENT

THIS AGREEMENT is made on the ______ day of September, 2016, by and between THE CITY OF NEW YORK, a municipal corporation (the "City") acting by and through its DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT, having an office at 100 Gold Street, Ninth Floor, New York, New York 10038 (the "Department"), and RCB1 NOMINEE LLC, a Delaware limited liability company having an address at 1345 Avenue of the Americas, Suite 200, New York, New York 10105 ("Applicant").

WHEREAS, Applicant is owner in fee simple of the real property designated as Block 1171, Lots 154 and 156 on the Tax Map of the City, County of New York, more particularly described in Exhibit A hereof (as improved pursuant to this Agreement, the "Premises"), and intends to construct improvements on the Premises, which improvements will constitute Affordable Housing within the meaning of Section 23-911 of the New York City Zoning Resolution (the "Resolution") and the Inclusionary Housing Program Guidelines (the "Guidelines"; the Guidelines and Resolution are collectively referred to as the "Program"); and

WHEREAS, the Department has been duly authorized to administer the Program, including the execution of this Agreement, for Floor Area Compensation under the Program; and

WHEREAS, Applicant has filed with the Department an Affordable Housing Plan pursuant to <u>Section 23-961(d)</u> of the Resolution, attached hereto and made a part hereof as <u>Exhibit B</u> (the "Plan"), and the Department has evaluated and approved the Plan as such terms and requirements of the Plan are reflected in this Agreement; and

WHEREAS, Applicant intends to provide Low Income Floor Area (as defined in <u>Section 23-911</u> of the Resolution (the "Affordable Housing Units") to be affordable to and occupied by families having incomes equal to the Low Income Limit in order to enable one or more new multiple dwellings (the "Compensated Development(s)"), to be eligible under the Program for Floor Area Compensation pursuant to <u>Section 23-933</u> (Inclusionary Housing designated areas) and Appendix F: Manhattan Community Board 7, Map 2 of the Resolution; and

WHEREAS, the parties hereto wish to enter into this Agreement to set forth the rights and obligations hereunder.

NOW, THEREFORE, in consideration of the mutual covenants and agreements herein contained, it is hereby agreed as follows:

- 1. Capitalized terms not specifically defined herein shall have the meaning set forth in the Program.
- 2. Applicant will create, through new construction, one hundred fifty-six (156) Affordable Housing Units pursuant to the building plans submitted to and approved by the Department (the "Building Plans"), located at the Premises (the "Building"). Attached hereto as <u>Exhibit C</u> is a list identifying each Affordable Housing Unit.
- 3. The one hundred fifty-six (156) Affordable Housing Units will consist of one hundred fifty-six (156) units to be occupied by Low Income Households, as defined in the Resolution, which will permit Floor Area Compensation in conformance with the Resolution.

2

RA/RC1/IH

EXHIBIT B

AFFORDABLE HOUSING PLAN

(following page(s))

THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

Office of Development 100 GOLD STREET, FIFTH FLOOR, NEW YORK, NEW YORK 10038 (212) 863-8228

AFFORDABLE HOUSING PLAN APPLICATION PURSUANT TO THE INCLUSIONARY HOUSING PROGRAM

Please indicate "Not Applicable" or "NA" where appropriate. Do not leave any lines blank.

Applicant: RCB 1 Nominee LLC Address: 1345 Avenue of the Americas, Suite 200, New York, NY 10105 Fax: Email: Primary Contact (Name/Phone/Email): John Gagnier/646-596-4189/jgagnier@gid.com Owner (if different): Address: Fax:	
Email: Primary Contact (Name/Phone/Email): John Gagnier/646-596-4189/jgagnier@gid.com Owner (if different): Address: Fax:	
Primary Contact (Name/Phone/Email): John Gagnier/646-596-4189/jgagnier@gid.com Owner (if different): Address: Fax:	
John Gagnier/646-596-4189/jgagnier@gid.com Dwner (if different): Address: Fax:	
Owner (if different):Address:Fax:	-
Address:Fax:	
Address:Fax:	
Address:Fax:	
Fax:	
Email:	
Primary Contact (Name/Phone/Email):	
Administering Agent: Breaking Ground	
Address: 505 Eighth Avenue, 5th Floor New York, New York 10018	
Fax:	
Email:	
Primary Contact (Name/Phone/Email):	
Judith Roisenfeld/j212-389-9322/jrosenfeld@breakingground.org	
General Contractor: Tishman Construction Corp. of New York	
Address: 100 Park Avenue, New York, NY 10017	
Fax:	
Email: `	
Primary Contact (Name/Phone/Email):	

5.	Architect: GHWA Architects	
	Address: 11 Broadway, Suite 3700, New York, NY	
	Fax:	
	Email:	
	Primary Contact (Name/Phone/Email):	
	L. Stephen Hill / 212-212-8007 / Ishill@ghwarchitects.com	
6.	Attorney and Firm: Holland & Knight	
	Address: 31 West 52nd Street, New York, NY 10019	
	Fax: 212 - 385 - 9010	
	Email:	•
	Primary Contact (Name/Phone/Email):	
	Ken Lowenstein / 212-513-3438 / kenneth.lowenstein@hklaw.com	
7.	Location of Affordable Housing Units	
	Street Address: 30 Riverside Blvd.	
	Block(s)/Lot(s): Block 1171 Lots 154 / 156	•
	Block(s)/Lot(s):	-
	Community Board: 7	• ,
8.	Inclusionary Housing District of Affordable Housing Units	
	□R-10 Inclusionary:	
	Is project privately financed (Yes/No)?	•
	⊟IH Designated Area (Insert ZR section reference, e.g., §23-952, §98-26, §62-352, etc):	
	23-933 and Appendix F Manhattan CB-7 Map 2	=
	□Special District:	=
	□Other (please explain):	-
_	If publicly financed, list all sources of governmental assistance, including lower income h	nousing tax
9.	credits, bond financing, and land disposition programs:	J
	Tax Exempt Bonds, Low Income Housing Tax Credits	
10	. Type of Project (check all that apply)	
	Construction type	
	■New Construction	
	□Preservation	
	☐Substantial Rehabilitation	

10. Type of Project (continued)	
Location	
■ On-site	
■ Off-site	
Inclusionary Units	
☑ Rental	
□Homeownership	
Non-Inclusionary Units	
■Rental	
■Homeownership	
□Not Applicable	
Unit Count	
Total Units: 646	
Total IH Units: 156	
Super's Units: 1	
Number of moderate-income units (equal to or less than 125% AMI): Number of middle-income units (equal to or less than 175% AMI):	
12. Tax Exemption To Be Requested: 421-a	
13. If the project will contain a condominium or cooperative structure, please describe the s and the use of each unit. If not, please indicate N/A:Project includes a condominium structure with the following components:	tructure
For sale residential, market rate rental, affordable rental, retail, parking	
thorized Signature of Applicant:	
te: Sept. 15, 2016	
e: oopt. 10, 2010	

Exhibit C

nter Building 1

lusionary Housir	ng Apartme	ents
Marketing Floor#	Apt#	# Bdrms
25	2502E	0
24	2404E	1
24	2401E	2
23	2304E	1
23	2301E	2
22	2204E	1
22	2201E	2
21	2104E	1
21	2101E	2
20	2004E	1
20	2001E	2
19	1904E	1
19	1901E	2
18	1804E	1
18	1801E	2
17	1704E	1
17	1701E	2
16	1604E	1
16	1601E	2
15	1504E	1
15	1501E	2
14	1404E	1
14	1401E	2
12	1204E	1
12	1201E	2
11	1112E	1
11	1110E	2
11	1101E	2
10	1012E	1
10	1010E	2
10	1001E	2

Unit Sumi	mary
# Bedrooms	Units
0 Bdrm	32
1 Bdrm	31
2 Bdrm	93
3 Bdrm	0
4 Bdrm	0
Total	156

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the date first set forth above.

THE CITY OF NEW YORK

BY: DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

BY: Louise Carroll, Associate Commissioner

RCB1 NOMINEE LLC

APPROVED AS TO FORM BY STANDARD TYPE OF CLASS FOR USE UNTIL AUGUST 31, 2017 By: /s/ Howard Friedman Acting Corporation Counsel

RA/RC1/IH

16

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date first set forth above.

THE CITY OF NEW YORK
BY: DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

COMMON GROUND MANAGEMENT CORPORATION doing business as BREAKING GROUND MANAGEMENT

BY:

Brenda Rosen, President and CEO

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the date first set forth above.

THE CITY OF NEW YORK

BY: DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

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- · · · · · · · · · · · · · · · · · · ·		
Louise Carro	II. Associate Comm	issioner

RCB1 NOMINEE LLC

James Lindey, Prosident

APPROVED AS TO FORM BY STANDARD TYPE OF CLASS FOR USE UNTIL AUGUST 31, 2017 By: /s/ Howard Friedman

Acting Corporation Counsel

NYC DEPARTMENT OF FINANCE OFFICE OF THE CITY REGISTER

This page is part of the instrument. The City Register will rely on the information provided by you on this page for purposes of indexing this instrument. The information on this page will control for indexing purposes in the event of any conflict with the rest of the document.

MTA:

Recording Fee:

Affidavit Fee:

NYCTA:

Additional MRT:

TOTAL:

\$

\$

\$

\$

\$

\$

0.00

0.00

0.00

0.00

0.00

310.00



RECORDING AND ENDORSEMENT COVER PAGE **PAGE 1 OF 56** Document ID: 2016112200591003 Document Date: 11-16-2016 Preparation Date: 11-30-2016 Document Type: AGREEMENT Document Page Count: 54 **RETURN TO:** PRESENTER: ROYAL ABSTRACT OF NEW YORK LLC(908775)BL ROYAL ABSTRACT OF NEW YORK LLC(908775)BL 125 PARK AVENUE 125 PARK AVENUE **SUITE 1610 SUITE 1610** NEW YORK, NY 10017 NEW YORK, NY 10017 212-376-0900 212-376-0900 MBASALATAN@ROYALABSTRACT.COM MBASALATAN@ROYALABSTRACT.COM PROPERTY DATA Borough Block Lot Unit Address MANHATTAN Entire Lot 1171 154 **40 RIVERSIDE BOULEVARD Property Type:** COMMERCIAL REAL ESTATE Borough Block Lot Unit Address MANHATTAN 1171 156 Entire Lot N/A WEST END AVENUE **Property Type:** COMMERCIAL REAL ESTATE **CROSS REFERENCE DATA** CRFN Year Reel Page or DocumentID or*or* File Number **PARTIES** PARTY 1: PARTY 2: THE CITY OF NEW YORK RCB1 NOMINEE LLC 100 GOLD STREET, NINTH FLOOR 1345 AVENUE OF THE AMERICAS, SUITE 200 NEW YORK, NY 10038 NEW YORK, NY 10105 ☑ Additional Parties Listed on Continuation Page FEES AND TAXES Mortgage: Filing Fee: Mortgage Amount: 0.00 0.00 Taxable Mortgage Amount: NYC Real Property Transfer Tax: 0.00 Exemption: 0.00 TAXES: County (Basic): NYS Real Estate Transfer Tax: \$ 0.00 City (Additional): \$ 0.00 0.00 Spec (Additional): \$ 0.00 RECORDED OR FILED IN THE OFFICE \$ TASF: 0.00

OF THE CITY REGISTER OF THE CITY OF NEW YORK

Recorded/Filed

12-02-2016 09:03 City Register File No.(CRFN):

2016000425193

City Register Official Signature

NYC DEPARTMENT OF FINANCE OFFICE OF THE CITY REGISTER



2016112200591003002CD0R2

RECORDING AND ENDORSEMENT COVER PAGE (CONTINUATION)

PAGE 2 OF 56

Document ID: 2016112200591003Document Type: AGREEMENT

Document Date: 11-16-2016

Preparation Date: 11-30-2016

PARTIES

PARTY 2:

RCB1 AFFORDABLE LLC 1345 AVENUE OF THE AMERICAS, SUITE 200 NEW YORK, NY 10105

BETWEEN THE CITY OF NEW YORK AND RCB1 NOMINEE LLC and RCB1 AFFORDABLE LLC PREMISES AFFECTED BY THIS INSTRUMENT: BLOCK LOTS 1171 154 and 156 ON THE TAX MAP OF THE CITY OF NEW YORK, COUNTY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-52 NEW YORK NEW YORK 10038		
THE CITY OF NEW YORK AND RCB1 NOMINEE LLC and RCB1 AFFORDABLE LLC PREMISES AFFECTED BY THIS INSTRUMENT: BLOCK LOTS 1171 154 and 156 ON THE TAX MAP OF THE CITY OF NEW YORK, COUNTY OF NEW YORK RECORD AND RETURN TO: THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		AMENDED AND RESTATED REGULATORY AGREEMENT
THE CITY OF NEW YORK AND RCB1 NOMINEE LLC and RCB1 AFFORDABLE LLC PREMISES AFFECTED BY THIS INSTRUMENT: BLOCK LOTS 1171 154 and 156 ON THE TAX MAP OF THE CITY OF NEW YORK, COUNTY OF NEW YORK RECORD AND RETURN TO: THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		
AND RCB1 NOMINEE LLC and RCB1 AFFORDABLE LLC PREMISES AFFECTED BY THIS INSTRUMENT: BLOCK LOTS 1171 154 and 156 ON THE TAX MAP OF THE CITY OF NEW YORK, COUNTY OF NEW YORK RECORD AND RETURN TO: THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		BETWEEN
RCB1 NOMINEE LLC and RCB1 AFFORDABLE LLC PREMISES AFFECTED BY THIS INSTRUMENT: BLOCK LOTS 1171 154 and 156 ON THE TAX MAP OF THE CITY OF NEW YORK, COUNTY OF NEW YORK RECORD AND RETURN TO: THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		THE CITY OF NEW YORK
PREMISES AFFECTED BY THIS INSTRUMENT: BLOCK LOTS 1171 154 and 156 ON THE TAX MAP OF THE CITY OF NEW YORK, COUNTY OF NEW YORK RECORD AND RETURN TO: THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		AND
BLOCK LOTS 1171 154 and 156 ON THE TAX MAP OF THE CITY OF NEW YORK, COUNTY OF NEW YORK RECORD AND RETURN TO: THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		RCB1 NOMINEE LLC and RCB1 AFFORDABLE LLC
BLOCK LOTS 1171 154 and 156 ON THE TAX MAP OF THE CITY OF NEW YORK, COUNTY OF NEW YORK RECORD AND RETURN TO: THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		
1171 154 and 156 ON THE TAX MAP OF THE CITY OF NEW YORK, COUNTY OF NEW YORK RECORD AND RETURN TO: THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		PREMISES AFFECTED BY THIS INSTRUMENT:
RECORD AND RETURN TO: THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		BLOCK LOTS 1171 154 and 156
THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2	Ö	N THE TAX MAP OF THE CITY OF NEW YORK, COUNTY OF NEW YORK
THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		
DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		RECORD AND RETURN TO:
DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT OFFICE OF LEGAL AFFAIRS CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		THE CITY OF NEW YORK
CONTRACTS AND REAL ESTATE DIVISION 100 GOLD STREET 5-S2		
100 GOLD STREET 5-\$2		
		NEW YORK, NEW YORK 10038

Royal Abstract of New York, LLC 125 Park Avenue, Suite 1610 New York, N.Y. 10017 (212) 376-0900

AMENDED AND RESTATED REGULATORY AGREEMENT

THIS AMENDED AND RESTATED REGULATORY AGREEMENT (this "Agreement") is made on the 16th day of November, 2016, by and between THE CITY OF NEW YORK, a municipal corporation (the "City") acting by and through its DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT, having an office at 100 Gold Street, Ninth Floor, New York, New York 10038 (the "Department"), RCB1 NOMINEE LLC, a Delaware limited liability company having an address at 1345 Avenue of the Americas, Suite 200, New York, New York 10105 ("Applicant"), and RCB1 AFFORDABLE LLC, a Delaware limited liability company having an address at 1345 Avenue of the Americas, Suite 200, New York, New York 10105 ("Affordable LLC").

WHEREAS, Applicant is owner in fee simple of the real property designated as Block 1171, Lots 154 and 156 on the Tax Map of the City, County of New York, more particularly described in Exhibit A hereof (as improved pursuant to this Agreement, the "Premises"), and intends to construct improvements on the Premises, which improvements will constitute Affordable Housing within the meaning of Section 23-911 of the New York City Zoning Resolution (the "Resolution") and the Inclusionary Housing Program Guidelines (the "Guidelines"; the Guidelines and Resolution are collectively referred to as the "Program"); and

WHEREAS, the Department has been duly authorized to administer the Program, including the execution of this Agreement, for Floor Area Compensation under the Program; and

WHEREAS, Applicant has filed with the Department an Affordable Housing Plan pursuant to <u>Section 23-961(d)</u> of the Resolution, attached hereto and made a part hereof as <u>Exhibit B</u> (the "Plan"), and the Department has evaluated and approved the Plan as such terms and requirements of the Plan are reflected in this Agreement; and

WHEREAS, Applicant intends to provide Low Income Floor Area (as defined in <u>Section 23-911</u> of the Resolution (the "Affordable Housing Units") to be affordable to and occupied by families having incomes equal to the Low Income Limit in order to enable one or more new multiple dwellings (the "Compensated Development(s)"), to be eligible under the Program for Floor Area Compensation pursuant to <u>Section 23-933</u> (Inclusionary Housing designated areas) and Appendix F: Manhattan Community Board 7, Map 2 of the Resolution; and

WHEREAS, in accordance with the Program, Applicant and the Department entered into a certain regulatory agreement dated September 16, 2016, which agreement shall be recorded against the Premises in the Office of the City Register, County of New York (the "City Register's Office") immediately before this Agreement (the "Original Regulatory Agreement"); and

WHEREAS, Applicant, Affordable LLC and the Department wish to amend certain terms and conditions of the Original Regulatory Agreement and restate in its entirety the Original Regulatory Agreement, as set forth herein, thereby setting forth their respective rights and obligations hereunder.

NOW, THEREFORE, in consideration of the mutual covenants and agreements herein contained, it is hereby agreed as follows:

1. Capitalized terms not specifically defined herein shall have the meaning set forth in the Program.

RA/RC1/IH 2

EXHIBIT B

AFFORDABLE HOUSING PLAN

(following page(s))

THE CITY OF NEW YORK DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

Office of Development 100 GOLD STREET, FIFTH FLOOR, NEW YORK, NEW YORK 10038 (212) 863-8228

AFFORDABLE HOUSING PLAN APPLICATION PURSUANT TO THE INCLUSIONARY HOUSING PROGRAM

Please indicate "Not Applicable" or "NA" where appropriate. Do not leave any lines blank.

Applicant:	RCB 1 Nominee LLC					
Address:	1345 Avenue of the Americas, Suite 200, New York, NY 10105					
Fax:						
Email: _						
Primary Contact (Name/Phone/Email):						
John Gagnier/646-596-4189/jgagnier@gid.com						
	Owner (if different):					
Address:						
Fax:						
Email:						
Primary Co	ontact (Name/Phone/Email):					
	ing Agent: Breaking Ground 505 Eighth Avenue, 5th Floor New York, New York 10018					
Email: _						
Primary Co	ontact (Name/Phone/Email):					
Judith Rois	enfeld/j212-389-9322/jrosenfeld@breakingground.org					
	ntractor: Tishman Construction Corp. of New York					
Address:	100 Park Avenue, New York, NY 10017					
Fax:						
Email: _						
Primary Co	ntact (Name/Phone/Email):					
	gins/ 646-589-0600/Robert.Higgins2@aecom.com					

	Location		
	■ On-site		
	■ Off-site		
	Inclusionary Units		
	✓ Rental		
	□Homeownership		
	Non-Inclusionary Units		
	■ Rental		
	⊟ Homeownership		
	□Not Applicable		
	Unit Count		
	Total Units: 646		
	Total IH Units: 156		
	Super's Units: 1		
	Number of low-income units (equal to or less than 80% AN Number of moderate-income units (equal to or less than 1750 Number of middl	.25% AMI):	,, , , , , , , , , , , , , , , , , , ,
	Number of moderate-income units (equal to or less than 1	.25% AMI):	
12.	Number of moderate-income units (equal to or less than 1	.25% AMI):	
13 .	Number of moderate-income units (equal to or less than 1 Number of middle-income units (equal to or less than 175	.25% AMI): % AMI):	
13. and	Number of moderate-income units (equal to or less than 1 Number of middle-income units (equal to or less than 175). Tax Exemption To Be Requested: 421-a If the project will contain a condominium or cooperative structure and the use of each unit. If not, please indicate N/A: Project includes a condominium structure with the following components:	.25% AMI): % AMI):	
13. and	Number of moderate-income units (equal to or less than 1 Number of middle-income units (equal to or less than 175). Tax Exemption To Be Requested: 421-a If the project will contain a condominium or cooperative structure and the use of each unit. If not, please indicate N/A:	.25% AMI): % AMI):	
13. and	Number of moderate-income units (equal to or less than 1 Number of middle-income units (equal to or less than 175). Tax Exemption To Be Requested: 421-a If the project will contain a condominium or cooperative structure and the use of each unit. If not, please indicate N/A: Project includes a condominium structure with the following components:	.25% AMI): % AMI):	
13. and	Number of moderate-income units (equal to or less than 1 Number of middle-income units (equal to or less than 175). Tax Exemption To Be Requested: 421-a If the project will contain a condominium or cooperative structure and the use of each unit. If not, please indicate N/A: Project includes a condominium structure with the following components:	.25% AMI): % AMI):	
13. and Pr Fo	Number of moderate-income units (equal to or less than 1 Number of middle-income units (equal to or less than 175). 2. Tax Exemption To Be Requested: 421-a 3. If the project will contain a condominium or cooperative structure and the use of each unit. If not, please indicate N/A: Project includes a condominium structure with the following components: or sale residential, market rate rental, affordable rental, retail, parking	.25% AMI): % AMI):	
13. and Pr Fo	Number of moderate-income units (equal to or less than 1 Number of middle-income units (equal to or less than 175). 2. Tax Exemption To Be Requested: 421-a 3. If the project will contain a condominium or cooperative structure and the use of each unit. If not, please indicate N/A: Project includes a condominium structure with the following components: or sale residential, market rate rental, affordable rental, retail, parking	.25% AMI): % AMI):	

EXHIBIT C

THE AFFORDABLE HOUSING UNITS

(following page(s))

Exhibit C

Riverside Center Building 1

Inclusionary Housing Apartments

inc	lusionary Housin	g Apartme	nts
Construction			
Floor #	Marketing Floor#	Apt#	# Bdrms
24	25	2502E	0
23	24	2404E	1
		2401E	2
23	24		
22	23	2304E	1
22	23	2301E	2
21	22	2204E	11
21	22	2201€	2
20	21	2104E	1
20	21	2101E	2
		-	
19	20	2004E	1 1
19	20	2001E	2
	19	1904E	1
18	19	1901E	2
18			1
17	18	1804E	
17	18	1801E	2
16	17	1704E	1
16	17	1701É	2
15	16	1604E	1
15	16	1601E	2
14	15	1504E	1 .
14	15	1501E	2
13	14	1404E	1
13	14	1401E	2
12	12	1204E	1
12	12	1201E	2
11	11	1112E	1
	11	1110E	2
	11	1101E	2
11			1
10	10	1012E	2
10	10		
10	10	1001E	2
9	9	912E	1
9	9	910E	2
9	9	901E	2
- 8	8	812E	1
8	8	810E	2
8	8	801E	2
7	7	712E	1
7	7	710E	2
	7	701E	2
	6	612E	1 1
6			
6	6	610E	2
6	6	601E	2
5	. 5	516E	1
5	5	515E	2
5	5	503E	22
5	5	501E	2
4	4	416E	1
4	4	415E	2
4	4	403E	2
4	4	401E	2
3	3	316E	1
-3	3	315E	2
3	3	303E	2
3	3	301E	2
	2	213E	1
2	2	212E	0
	2	212E	2
2			2
2	2	201E	0
20	21	2102W	
19	20	2002W	0
18	19	1912W	2
18	19	1911W	0
18	19	190877	2
18	19	1903W	2
17	18	1808W	2
17	18	1804W	2
15	17	1718W	2
16	17	1717W	0
16	17	1714W	2
16	17	1709W	2
	17	1702W	2
16		1701W	6
16	17		+
15	16	1618W	2
15	16	1617W	0
15	16	1614W	2
15	16	1609W	2
15	16	1602W	2
15	16	1601W	0
14	15	1519W	2
14	15	1518W	0
		1515W	2
14	15		
14	15	1512W	2

Unit Sum	mary
# Bedrooms	Units
0 Bdrm	32
1 Bdrm	31
2 Bdrm	93
3 Bdrm	D
4 Bdrm	0
Total	156

	ionary Housin	ng Apartme	ents
Construction	Marketing		404-
Floor#	Floor#	Apt#	# Bdrms
14	15	1509W	2
14 13	15	1501W	2
13	14	1418W	0
13	14	1415W	2
13	14	1412VV	2
13	14	1409W	2
13	14	1401W	0
12	12	1216W	2
12	12	1212W	2
12	12	1209W	2
12	12	1202W	2
12	. 12	1201W	0
11	- 11	1115W	2
11	11	1111W	2
11	11	1110VV	1
11	11	1109W	2
11	11	1102W	2 .
11	11	1101W	.0
10	10	1018W	2
10	10	1017W	0
10	10 10	1016W	2
10	10	1014W	2
10	10	1003W	2
10	10	1001W	0
9	9	918VV	2
9	 -	917W	- n
9		916W	0
9	9	914W	2
9	9	909W	2
9	9	902W	2
9	9	901W	0
8	8	818VV	2
8	8	817W	0
8	8	816W	0
8	8	814W	2
. 8	8	809W	2
. 8	8	802W	2
8	8	801W	0
7	7	718W	2
7	7	717W	0
7	7	716W	0
7	7	714W	2
7	7	709W 702W	. 2
7	7-		0
6		701W 618W	2
6	B	617W	0
6		614W	2
6	6	609W	2
6	. 6	608VV	1
6	6	602VV	2
. 6	- 6	601W	. 0
5	5	513W	2
5	. 5	512W	1
	5	510W	2
5	5	508W	2
5	5	507W	0
5	- 5	501W	1 1
	4	413W	2
4	4 4	412VV 410VV	1 2
4	4	408W	2
4	4	408W	
4	4	407W	1
3	3	313W	2
3	3	313VV	1
3	3	312VV	1 2
3	3	309W	1
3	3	307W	0
- 3	3	301W	1 1
2	2	205W	2